

## ADVISOR CONTACT SHEET

Please complete and send to **kilcha@simondavisinc.com** or fax to **303-860-8956**.

*This information will be used to update our database. Additionally, our Compliance Department will check your U-4 filing with FINRA.*

*Please wait to submit new business until you receive a Welcome Email from SDAM informing you that you are now ready to do business with us.*

### ADVISOR INFORMATION

Full Legal Name: \_\_\_\_\_

Preferred Nickname (optional): \_\_\_\_\_

SSN: \_\_\_\_\_ Individual CRD #: \_\_\_\_\_

Firm Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

Email: \_\_\_\_\_

Phone: \_\_\_\_\_ Ext: \_\_\_\_\_ Fax: \_\_\_\_\_

**For SDAM office use only:**

Form revised 12/2009

\_\_\_\_\_ U-4 filing checked with FINRA

\_\_\_\_\_ Send Advisor "Welcome" email

\_\_\_\_\_ Update ACT with Advisor information